

**PATENT****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Squier, Randy L.

Attorney Docket No.: S67-002-04-US

Application No.: 10/827,490

Group Art Unit: Unknown

Filed: April 19, 2004

Title: LOCK ASSEMBLY HAVING SECURE  
ENGAGEMENT PLATE

Assistant Commissioner for Patents  
Washington, D.C. 20231

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Sir:

Pursuant to 37 CFR §§ 1.97 and 1.98, Applicant in the above-identified application submits this Supplemental Information Disclosure Statement together with a substitute for form PTO-1449 enclosed herewith to bring to the Examiner's attention documents of which Applicant is aware and which may have some bearing on the examination of this case.

Applicant, by submitting the listed documents, does not represent any of the documents to be prior art to this application, and expressly reserves the right, under 37 CFR § 1.131, to establish otherwise. Applicant does not represent the listed documents to be material to the examination of the application, but has cited same to make it clear beyond all doubt that Applicant has complied with the Duty of Disclosure. Furthermore,

**CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.8(a))**Date: June 11, 2004

I hereby certify that this correspondence is, on the date shown above, being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

Teresa Herron  
Name of Person Mailing Document  
Signature

this disclosure statement is not to be construed as a representation that a search has been conducted by Applicant or that no other material information exists. A copy of each identified document is submitted herewith.

**REMARKS**

Applicant requests that these documents be considered by the Examiner and made of record in the present application under 37 CFR § 1.104.

Respectfully submitted,  
for the Applicant, Randy L. Squier  
by his attorneys,

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Date: June 11 2004

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JUN 21 2004



PTO/SB/081 (10-96)

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Substitute for form 1449A/PTO

**Complete if Known**

Application Number	10/827,490
Filing Date	April 10, 2004
First Named Inventor	Randy Squier
Group Art Unit	Unknown
Examiner Name	Unknown

**SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT BY  
APPLICANT**

(use as many sheets as necessary)

Sheet	1	of 1	Attorney Docket Number	S67-002-04-US
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**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
		Kind Code <sup>2</sup>	Number(if known)			
	1	1,046,127		Weatherwax	12-03-1912	
	2	3,236,342		Persson	02-22-1966	
	3	3,674,246		Freeman	07-04-1972	
	4	4,074,552		Smith	02-21-1978	
	5	4,688,409		Oliver et al.	08-25-1987	
	6	4,708,007		Stoia	11-24-1987	
	7	4,782,677		O'Gara	11-08-1988	
	8	4,936,122		Osada	06-26-1990	
	9	4,967,305		Murrer et al.	10-30-1990	
	10	5,113,675		Uyeda	05-19-1992	
	11	5,267,461		Eizen	12-07-1993	
	12	5,787,741		Shen	08-04-1998	
	13	5,841,347		Kim	11-24-1998	
	14	5,841,361		Hoffman	11-24-1998	
	15	5,941,654		Chauquet	08-24-1999	
	16	6,098,433		Maniaci	08-08-2000	
	17	6,298,699		Gartner et al.	10-09-2001	

**OTHER PRIOR ART — NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
	18	Sargent & Greenleaf Premium Security Locks Catalog: June 13, 2001
Examiner Signature		Date Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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